

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Sony Corporation and)
Sony Electronics, Inc.)
Examiner: Unk.
Serial No.: 10/015,724) Art Unit: 2165
Case No.: 50P4506.01
Filing Date: December 6, 2001) December 7, 2004
For: System and Method for Conducting Financial) 750 "B" Street, Suite 3120
Transactions Using A Personal Transaction Device with) San Diego, CA 92101
Vehicle-Accessed, Payment-Gateway Terminals

TRANSMITTAL LETTER FOR
RESPONSE TO NOTICE REGARDING POWER OF ATTORNEY

Commissioner for Patents
Mail Stop Missing Parts
P.O. Box 1450
Alexandria, VA 22313

Dear Sir:

In response to the Notice Regarding Power of Attorney dated November 29, 2004, please record the enclosed assignment which was filed with the original application but was not recorded. Enclosed herewith are the following:

1. Copy of the Notice Regarding Power of Attorney
2. Statement of Authority
3. Revocation of Power of Attorney with New Power of Attorney and Change of Address
4. Copies of the transmittal letter and dated stamped postcard showing an assignment was filed
5. Copy of the originally filed credit card form providing payment for the assignment recordation
6. A copy of the recordation cover sheet and the executed assignment
7. Acknowledgment postcard

Respectfully submitted,

John L. Rogitz
Attorney of Record
Registration No. 33,549
750 "B" Street, Suite 3120
San Diego, CA 92101
Telephone: (619) 338-8075

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service, First Class Mail, postage fully prepaid, under 37 CFR 1.8, addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA on DECEMBER 7, 2004

Date Signed: DECEMBER 7, 2004

JEANNE GAHAGAN

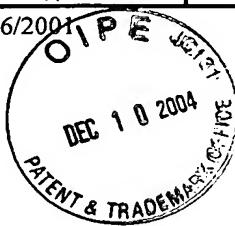


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/015,724	12/06/2001	Michael L. Maritzen	50P4506.01

Rogitz & Associates
750 B Street, Suite 3120
San Diego, CA 92101



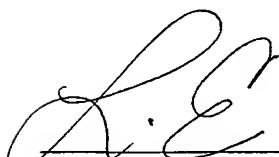
CONFIRMATION NO. 8876
OC000000014623740
OC000000014623740

Date Mailed: 11/29/2004

NOTICE REGARDING POWER OF ATTORNEY

This is in response to the Power of Attorney filed 02/04/2004. The Power of Attorney in this application is not accepted for the reason(s) listed below:

- The Power of Attorney is from an assignee and the Certificate required by 37 CFR 3.73(b) has not been received.



LOWUAN ELLIS
3600 (703) 306-0423

FORMER ATTORNEY/AGENT COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Sony Corporation and)
 Sony Electronics, Inc.)
 Serial No.: 10/015,724)
 Filing Date: December 6, 2001)
 For: System and Method for Conducting Financial)
 Transactions Using A Personal Transaction Device with)
 Vehicle-Accessed, Payment-Gateway Terminals)

Examiner: Unk.)
 Art Unit: 2165)
 Case No.: 50P4506.01)

December 4, 2004)
 750 "B" Street, Suite 3120)
 San Diego, CA 92101)

STATEMENT OF AUTHORITY
TO SIGN DOCUMENTS ON BEHALF OF APPLICANT

Commissioner for Patents
 Mail Stop Patent Applications
 P.O. Box 1450
 Alexandria, VA 22313

Dear Sir:

In response to the Notice Regarding Power of Attorney dated November 29, 2004, the undersigned, Harold T. Fujii, (whose title appears below), has been granted legal Authority by the Applicant (Assignee listed in the enclosed assignment) and is empowered to sign any and all documents relating to the above-referenced patent application. The undersigned hereby authorizes John L. Rogitz to represent the applicant before all competent Authorities for the above-captioned case.

Harold T. Fujii
 Vice President of Intellectual Property Dept.
 Registration No. 38,458

Dated: 12/06/2004

Respectfully submitted,

John L. Rogitz
 Attorney of Record
 Registration No. 33,549
 750 "B" Street, Suite 3120
 San Diego, CA 92101
 Telephone: (619) 338-8078



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REVOCATION OF POWER OF
ATTORNEY WITH
NEW POWER OF ATTORNEY
AND
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/015,724
Filing Date	12/06/2001
First Named Inventor	Maritzen
Art Unit	Unk.
Examiner Name	Unk.
Attorney Docket Number	50P4506.01

I hereby revoke all previous powers of attorney given in the above-identified application.

A Power of Attorney is submitted herewith.

OR

I hereby appoint the practitioners associated with the Customer Number:

36738

Please change the correspondence address for the above-identified application to:

The address associated with
Customer Number:

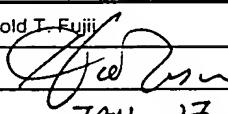
OR

<input checked="" type="checkbox"/> Firm or Individual Name	John L. Rogitz Rogitz & Associates				
Address	750 B street				
Address	Suite 3120				
City	San Diego	State	California	Zip	92101
Country	United States of America				
Telephone	(619) 338-8075	Fax	(619) 338-8078		

I am the:

Applicant/Inventor.
 Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name	Harold T. Fujii		
Signature			
Date	JAN 27, 2004	Telephone	(408) 955-5499

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



The U.S. Patent & Trademark Office acknowledges receipt of the following enclosures and assigns the serial number and/or date stamped hereon:

Applicant: Maritzen et al.

Title: System and Method for Conducting Financial Transactions
Using A Personal Transaction Device with Vehicle-Accessed,
Payment Gateway Terminals

Docket No.: 50P4506.01

Enclosures:

1. A copy of the Notice of Abandonment;
2. A Petition for Revival of an Application for Patent Abandoned Unintentionally (2 pgs.)
3. A Petition to Revive (2 pgs.)
4. A Petition to Invoke Rule 47 (3 pgs.)
5. A copy of a letter from Michael Maritzen (1 pg.)
6. A copy of Michael Maritzen's employment agreement with Sony Corporation (1 pg.)
7. Co-Inventor's Declaration (2 pgs.)
8. A copy of the Notice to File Missing Parts
9. An original signed Declaration (5 pgs.)
10. An assignment recordation cover sheet & assignment (5 pgs.)
11. Credit Card Authorization Form J6

Date Mailed: JUNE 7, 2004
1168.102

Express Mail Label No.: EV546242055US
4-10

The U.S. Patent & Trademark Office acknowledges receipt of the following enclosures and assigns the serial number and/or date stamped hereon:

Applicant: Maritzen et al.

Title: System and Method for Conducting Financial Transactions
Using A Personal Transaction Device with Vehicle-Accessed,
Payment Gateway Terminals

Docket No.: 50P4506.01

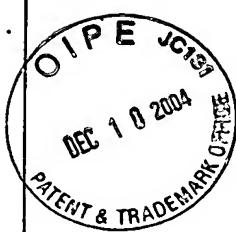
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11. Credit Card Authorization Form J6

Date Mailed: JUNE 7, 2004
1168.102

Express Mail Label No.: EV546242055US
4-10





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Applicant: Maritzen)	Art Unit: unk.
)	
Serial No.: 10/015,724)	Examiner: unk.
)	
Filed: December 6, 2001)	50P4506.01
)	
For: System and Method for Conducting Financial Transactions...)	June 4, 2004
)	750 B Street, Suite 3120
)	San Diego, CA 92101
)	

TRANSMITTAL LETTER FOR RESPONSE TO NOTICE OF ABANDONMENT;
RESPONSE TO NOTICE TO FILE MISSING PARTS; PETITION TO INVOKE RULE 47

Commissioner for Patents
Alexandria, VA 22313

Dear Sir:

In response to the Notice of Abandonment dated January 6, 2004, and in response to the Notice to File Missing Parts dated January 23, 2002, enclosed herewith are the following:

1. A copy of the Notice of Abandonment;
2. A Petition for Revival of an Application for Patent Abandoned Unintentionally (2 pgs.)
3. A Petition to Revive (2 pgs.)
4. A Petition to Invoke Rule 47 (3 pgs.)
5. A copy of a letter from Michael Maritzen (1 pg.)
6. A copy of Michael Maritzen's employment agreement with Sony Corporation (1 pg.)
7. Co-Inventor's Declaration (2 pgs.)
8. A copy of the Notice to File Missing Parts
9. An original signed Declaration (5 pgs.)
10. An assignment recordation cover sheet
11. An original assignment (4 pgs.)
12. An acknowledgment postcard

The Commissioner is authorized to charge the following fees to the credit card indicated on the Credit Card Authorization form enclosed, and is additionally authorized to credit overpayments or charge fees in addition to those listed below, should they be required under 37 CFR 1.16 or 1.17.

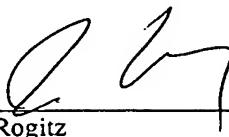
1. \$1330 - Petition to Revive fee
2. \$1610 for Missing Parts surcharge plus 4 months extension of time
3. \$130 for Non-signing inventor fee
4. \$40 for Recordation of Assignment fee

CASE NO.: 50P4506.01
Serial No.: 10/015,724
June 4, 2004
Page 2

PATENT
Filed: December 6, 2001

BEST AVAILABLE COPY

Respectfully submitted,


John L. Rogitz
Registration No. 33,549
Attorney of Record
750 B Street, Suite 3120
San Diego, CA 92101
Telephone: (619) 338-8075

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this document, together with any papers described as attached or enclosed, is being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" service, Express Mailing label no. EV546242055 US under 37 CFR 1.10, addressed to Mail Stop - Petition, Commissioner for Patents, P.O. BOX 1450, Alexandria, VA 22313 on JUNE 4, 2004.

Date of Deposit: JUNE 4, 2004

Person mailing paper/fee: Jeanne Gahagan

Signature Jeanne Gahagan



RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name(s) of conveying party:

- (1) Michael L. Maritzen
- (2) Hiro Yasuda
- (3) Robert Tanner
- (4) Harold Aaron Ludtke

2. Name and address of receiving parties:

Sony Corporation
7-35 Kitashinagawa 6-Chome
Shinagawa-Ku
Tokyo, Japan

and

Sony Electronics Inc.
1 Sony Drive
Park Ridge, New Jersey 07656

3. Nature of conveyance: Assignment

Execution Dates: (1) N/A (2) 02/01/2004 (3) 01/08/2004 (4) 01/16/2004

4. Application number: 10/015,724

Docket No.: 50P4506.01

If this Assignment is being filed together with a new application, enter the execution date of the application (date Declaration signed): (1) N/A (2) 02/01/2004 (3) 01/08/2004 (4) 01/12/2004

5. Name and address of party to whom correspondence concerning Assignment should be mailed:

John L. Rogitz
Rogitz & Associates
750 B Street, Suite 3120
San Diego, CA 92101

6. Total number of applications involved: 1

7. Total fee (37 CFR 3.41) = \$40.00 Enclosed

8. The Commissioner is authorized to charge \$40 to the credit card indicated on the attached Credit Card authorization form.

DO NOT USE THIS SPACE

8. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John L. Rogitz
Attorney Name

Signature of Attorney

JUNE 4, 2004
Date

Total number of pages enclosed including cover sheet 5

ASSIGNMENT

WHEREAS, I, as a below named inventor, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in **SYSTEM AND METHOD FOR CONDUCTING FINANCIAL TRANSACTIONS USING A PERSONAL TRANSACTION DEVICE WITH VEHICLE-ACCESSED, PAYMENT GATEWAY TERMINALS** for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, Sony Corporation, a Japanese corporation with offices at 7-35 Kitashinagawa 6-Chome, Shinagawa-Ku, Tokyo, Japan and Sony Electronics Inc. a Delaware corporation with offices at 1 Sony Drive, Park Ridge, New Jersey 07656 (hereinafter referenced as ASSIGNEES) is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEES, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEES, as the assignees of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEES or its designee, as ASSIGNEES or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEES thereof shall hereafter require and prepare at its own expense;

Docket No. 50P4506.01

And I further agree that ASSIGNEES will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

And I hereby authorize and request my attorney(s) of record in this application to insert the execution date, serial number and filing date of this application in the spaces that follow:
Serial Number: 10/015,724, Filing Date: December 6, 2001.

This assignment is being executed on the date indicated below.

MICHAEL L. MARITZEN
Dated: _____

STATE OF _____)
COUNTY OF _____) ss.

On this _____ day of _____, in the year of _____, before me, _____, Notary Public, personally appeared the above-named assignors, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that his/her/their signature(s) on the instrument, the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

Notary Public



HIRO YASUDA

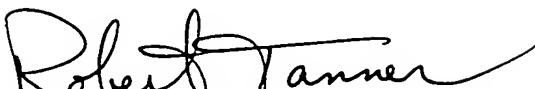
Dated: Feb 1st 2004

STATE OF _____)
COUNTY OF _____) ss.

On this _____ day of _____, in the year of _____, before me, _____, Notary Public, personally appeared the above-named assignors, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that his/her/their signature(s) on the instrument, the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

Notary Public

Docket No. 50P4506.01



ROBERT TANNER

Dated: Jan. 8, 2004

STATE OF _____)
ss.
COUNTY OF _____)

On this _____ day of _____, in the year of _____, before me, _____, Notary Public, personally appeared the above-named assignors, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that his/her/their signature(s) on the instrument, the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

Notary Public

Dated: _____

HAROLD AARON LUDTKE

STATE OF _____)
ss.
COUNTY OF _____)

On this _____ day of _____, in the year of _____, before me, _____, Notary Public, personally appeared the above-named assignors, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that his/her/their signature(s) on the instrument, the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

Notary Public

Dated: _____

ROBERT TANNER

STATE OF _____)
COUNTY OF _____) ss.

On this _____ day of _____, in the year of _____, before me, _____, Notary Public, personally appeared the above-named assignors, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that his/her/their signature(s) on the instrument, the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

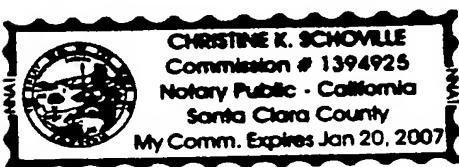
Notary Public

Harold Aaron Ludtke
HAROLD AARON LUDTKE

Dated: 1/16/04

STATE OF California)
COUNTY OF Santa Clara) ss.

On this 16 day of January, in the year of 2004, before me, Christine K. Schoville, Notary Public, personally appeared the above-named assignors, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that his/her/their signature(s) on the instrument, the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.



Christine K. Schoville
Notary Public



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

United States Patent and Trademark Office

Credit Card Payment Form

Please Read Instructions before Completing this Form

Credit Card Information

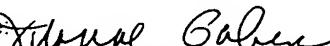
Credit Card Type: Visa MasterCard American Express Discover

Credit Card Account #: 

Credit Card Expiration Date: 06/07

Name as it Appears on Credit Card: Jeanne Gahagan

Payment Amount: \$ (US Dollars): \$310

Cardholder Signature: 

Date: 6-3-04

Refund Policy: The Office may refund a fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee will not entitle a party to a refund of such fee. The office will not refund amounts of \$25.00 or less unless a refund is specifically requested, and will not notify the payor of such amounts (37 CFR § 1.26). Refund of a fee paid by credit card will be issued as a credit to the credit card account to which the fee was charged.

Service Charge: There is a \$50.00 service charge for processing each payment refused (including a check returned "unpaid") or charged back by a financial institution (37 CFR § 1.21 (m)).

Credit Card Billing Address

Street Address 1: 750 B Street

Street Address 2: Suite 3120

City: San Diego

State/Province: California

Zip/Postal Code: 92101

Country: United States

Daytime Phone #: (619) 338-8075

Fax #: (619) 338-8078

Request and Payment Information

Description of Request and Payment Information:
1. PETITION TO Revive - \$1330
2. MISSING PARTS SURCHARGE \$4 MONTHS EXTENSION OF TIME - \$1610
3. NON-SIGNING INVENTOR FEE \$150 4. RECORDATION OF ASSIGNMENT - \$40

Patent Fee Patent Maintenance Fee Trademark Fee Other Fee

Application No.

Application No.

Application No.

IDON Customer No.

10/015, 724

Patent No.

Registration No.

Attorney Docket No.

Identify or Describe Mark

50P4506.01

If the cardholder includes a credit card number on any form or document other than the Credit Card Payment Form, the United States Patent and Trademark Office will not be liable in the event that the credit card number becomes public knowledge.